

## Introduction

## The DOT Organization

The U.S. Department of Transportation (DOT) is the Federal steward of the Nation's transportation system and speaks for transportation in the Federal government. It was created in 1967 to develop transportation policies and programs that contribute to providing fast, safe, efficient, and convenient transportation at the lowest cost—essentials to meet national objectives of economic growth and stability, security of the United States, and the efficient use and conservation of resources. Its creation brought under one umbrella a myriad of transportation missions and programs, some of which date back to the 1700s.

DOT employs almost 100,000 civilian and military people located across the country and the world. It includes the following organizations:

Office of the Secretary (OST)

## United States Coast Guard (USCG)

**Federal Aviation Administration (FAA)**

**Federal Highway Administration (FHWA)**

**Federal Railroad Administration (FRA)**

**National Highway Traffic Safety Administration  
(NHTSA)**

**Federal Transit Administration (FTA)**

**Saint Lawrence Seaway Development Corporation  
(SLSDC)**

### Maritime Administration (MARAD)

### Research & Special Programs Administration (RSPA)

**Bureau of Transportation Statistics (BTS)**

### Surface Transportation Board (STB)

**Transportation Administrative Service Center  
(TASC)**

These organizations are working together as *One DOT* to create the best transportation system for America, one that is *international in reach, intermodal in form, intelligent in character and inclusive in nature*.

## This Report

This Financial Management (FM) Status Report and Five-Year Plan highlights our major FM initiatives, future plans, and recent accomplishments. It shows how we are working to improve and reform FM in support of Government-wide FM goals and related legislation, and DOT's Strategic Plans and FM Visions.

The specific improvement activities we are implementing and the progress and commitment we have made to meet these goals and make financial management work better in DOT are described in the four sections of this report—***Improving Financial Systems, Improving Financial Information, Streamlining and Modernizing Financial Services, and Maximizing Financial Resources.***

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DOT's progressive evolution of its aging financial system components to modern commercially available components is quickly taking shape. Progress continues on integrating a new program - "Delphi"--which is moving DOT away from the existing Departmental Accounting and Financial Information System (DAFIS) to an advanced financial systems environment that will

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